SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* BROOKMAN BARTON R JR			2. Date of Event Re Statement (Month/E 02/16/2024	· · ·	3. Issuer Name and Ticker or Trading Symbol SM Energy Co [ SM ]							
(Last) 1700 LINCOI SUITE 3200 (Street) DENVER (City)	(First) LN STREET CO (State)	(Middle) 80007 (Zip)				ionship of Reporting Person( all applicable) Director Officer (give title below)	n(s) to Issuer 10% Owner Other (specify below)		fy	5. If Amendment, Date of Original Filed (Month/Day/Year)         6. Individual or Joint/Group Filing (Check Applicable Line)         X       Form filed by One Reporting Person Form filed by More than One Reporting Person		
			Table I - Nor	-Derivat	tive Se	curities Beneficially	Own	ed				
1. Title of Security (Instr. 4)					Beneficially Owned (Instr. 4)		Forn	· · ·		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
No securities are beneficially owned.						0	D					
5						urities Beneficially O ptions, convertible s						
1. Title of Derivative Security (Instr. 4) 2. Date Exe Expiration (Month/Day			ite	3. Title and Amount of Securities Derivative Security (Instr. 4)		s Unde	erlying	4. Conver or Exer	sion cise	(D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Explanation of R			Date Exercisable	Expiratior Date	Title		oi N	mount r umber f Shares	Price o Derivat Securit	ive	Indirect (I) (Instr. 5)	

Remarks:

Andrew T. Fiske (Attorney-in-

Fact)

02/26/2024

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## INSIDER TRADING POLICY POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of A. Wade Pursell, James B. Lebeck and Andrew T. Fiske the undersigned's true and lawful attorney-in-fact to:

- (1) execute for and on behalf of the undersigned Forms 3, 4 and 5 in accordance with Section 16(a) of the Securities Exchange Act of 1934 and the rules thereunder;
- (2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete the execution of any such Form 3, 4 or 5 and the timely filing of such form with the United States Securities and Exchange Commission and any other authority; and
- (3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned.

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform all and every act and thing whatsoever requisite, necessary and proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934. This Power of Attorney hereby revokes all prior existing Powers of Attorney executed by the undersigned and related to Forms 3, 4 and 5 promulgated pursuant to Section 16(c) of the Securities Exchange Act of 1934. This Power of Attorney can only be revoked by delivering a signed, original "Revocation of Power of Attorney" to the attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 23<sup>rd</sup> day of February 2024.

Signature: /s/ Barton R. Brookman